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<b>Substitute for PTO/SB/8A (Modified)</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)		<b>Complete if Known</b>	
		Application Number	09/990,433
		Filing Date	November 20, 2001
		First Named Inventor	ZARLING, David A.
		Group Art Unit	1633-1636
		Examiner Name	KAUSHAL
Sheet 1 of 8	Attorney Docket Number	A-64580-5/RFT/NBC (467135-38)	

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.	U.S. Patent Document Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
Se	A1	4,873,191	10/1989	Wagner et al.	
Se	A2	4,888,274	12/1989	Radding et al.	
Si	A3	4,950,599	08/1990	Bertling	
Se	A4	5,223,414	06/1993	Zarling et al.	
Se	A5	5,264,618	11/1993	Felgner et al.	
Se	A6	5,272,071	12/1993	Chappel	
Se	A7	5,273,881	12/1993	Sena et al.	
Se	A8	5,366,894	11/1994	Clark et al.	
Se	A9	5,416,260	05/1995	Koller et al.	
Se	A10	5,451,513	09/1995	Maliga et al.	
Se	A11	5,459,072	10/1995	McKay et al.	
Se	A12	5,460,941	10/1995	Camerini-Otero et al.	
Se	A13	5,464,764	11/1995	Capecchi et al.	
Se	A14	5,468,629	11/1995	Calhoun	
Se	A15	5,487,992	01/1996	Capecchi et al.	
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Se	A23	5,571,688	11/1996	Mekalanos et al.	
Se	A24	5,578,461	11/1996	Sherwin et al.	
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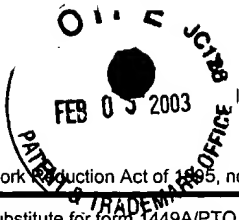
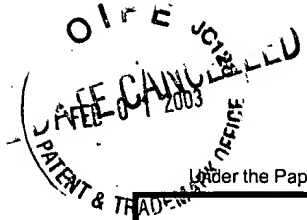
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				First Named Inventor	ZARLING, David A.
				Group Art Unit	4633-1636
				Examiner Name	KAUSHAL
Sheet	2	of	8	Attorney Docket Number	A-64580-5/RFT/NBC (467135-38)

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No.	U.S. Patent Document Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
2	A27	5,612,205	03/1997	Kay et al.	
2	A28	5,614,396	03/1997	Bradley et al.	
8	A29	5,763,240	06/1998	Zarling et al.	
8	A30	5,948,653	09/1999	Pati et al.	
8	A31	6,255,113 B1	07/2001	Zarling et al.	
8	A32				

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Examiner Initials*	Cite No.	Foreign Patent Document Country Code <sup>2</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
8	B1	EP 0 718 404	11/1995	TAKARA SHUZO CO. (JP)		
8	B2	WO 91/19796	12/1991	BAYLOR COLLEGE MED (US)		
8	B3	WO 92/08791	05/1992	US COMMERCE (US)		
8	B4	WO 92/15694	09/1992	SALK INST BIOLOG STUD (US)		
8	B5	WO 93/03736	03/1993	MICROPROBE CORP (US)		
8	B6	WO 93/05177	03/1993	DAIKIN IND LTD (JP)		
8	B7	WO 93/05178	03/1993	DAIKIN IND LTD (JP)		
8	B8	WO 93/22443	11/1993	STANFORD RES INST INT (US)		
8	B9	WO 94/04032	03/1994	UNIV CALIF (US)		
8	B10	WO 94/04669	03/1994	MEDICAL RES COUNSEL (GB)		
8	B11	WO 95/22625	08/1995	AFFYMAX TECH NV (AN)		
8	B12	WO 96/03051	02/1996	GENE PHARMING EUROP (NL)		
8	B13	WO 96/40765	12/1996	U.S. DEPT HEALTH (US)		
8	B14	WO 97/04111	02/1997	U.S. DEPT HEALTH (US)		
8	B15	WO 97/05268	02/1997	SETRATECH (FR)		
8	B16					
8	B17					
8	B18					

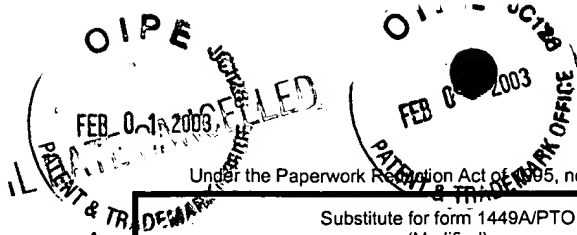
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			Application Number	09/990,433	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)			Filing Date	November 20, 2001	
			First Named Inventor	ZARLING, David A.	
			Group Art Unit	1633-1676	
			Examiner Name	KAVSHAN	
			Attorney Docket Number	A-64580-5/RFT/NBC (467135-38)	
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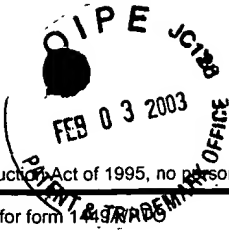
Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	76
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Examiner Signature		Date Considered	10/6/03
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Substitute for form 1449 (Modified)		<b>Complete if Known</b>	
		Application Number	09/990,433
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)		Filing Date	November 20, 2001
		First Named Inventor	ZARLING, David A.
		Group Art Unit	1633 1636
		Examiner Name	K A USHOL
		Attorney Docket Number	A-64580-5/RFT/NBC (46135-38)
Sheet	4	of	8

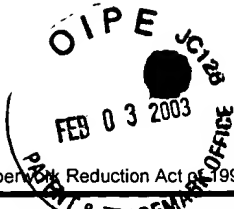
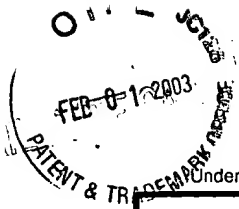
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OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
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Sheet	5	of	8	Attorney Docket Number	A-64580-5/RFT/NBC (467135-38)

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS		
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Substituted for PTO Form 449A/PTO (Modified)			<b>Complete if Known</b>		
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)			Application Number	09/990,433	
			Filing Date	November 20, 2001	
			First Named Inventor	ZARLING, David A.	
			Group Art Unit	4633-1636	
			Examiner Name	KAUSHAL	
Sheet	6	of	8	Attorney Docket Number	A-64580-5/RFT/NBC (467135-38)

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✓	C53	MULLER, et al., "RecA-mediated annealing of single-stranded DNA and its relation to the mechanism of homologous recombination," J. Mol. Biol., 221:131-145 (1991).		
✓	C54	MULLINS, J.J., et al., "Expression of the DBA/2J Ren-2 gene in the adrenal gland of transgenic mice." EMBO J. 1989 Dec 20;8(13):4065-72		
✓	C55	MULLINS, J.J. et al., "Fulminant hypertension in transgenic rats harbouring the mouse Ren-2 gene." Nature. 1990 Apr 5;344(6266):541-4		
✓	C56	O'GORMAN, et al., "Recombinase-mediated gene activation and site-specific integration in mammalian cells," Science, 251:1351-1355 (1991).		
✓	C57	ORKIN et al., "Report and Recommendations of the Panel to Assess the NIH Investment in Research on Gene Therapy," National Institutes of Health, 7 December 1995.		
✓	C58	PATI, W., et al., "Sequence-Specific DNA Targeting", Encyclo. of Cancer, Vol. III:1601-1625 (1997).		
✓	C59	PENNISI, "The Lamb That Roared," Science 278:2038-2039 (1997).		
✓	C60	PENNISI, "After Dolly, a Pharming Frenzy," Science 279:646-648 (1998).		
✓	C61	PLATENBURG, et al., "Mammary-gland-specific hypomethylation of Hpa II Sites Flanking the Bovine α-S1-Casein gene," Transgenic Research, 5:421-431 (1996).		
✓	C62	PODYMINOGIN, M.A., et al., "RecA-Catalyzed, Sequence-Specific Alkylation of DNA by Cross-Linking Oligonucleotides. Effects of Length and Nonhomologous Base Substitutions", Biochemistry, 35:7267-7274 (1996).		
✓	C63	PODYMINOGIN, M.A., et al., "Sequence-Specific Covalent Modification of DNA by Cross-Linking Oligonucleotides. Catalysis by RecA and Implication for the Mechanism of Synaptic Joint Formation", Biochemistry, 34:13098-13108 (1995).		
✓	C64	PRELLE, et al., "Beneficial Effects of Thiol Compounds on Hatching Rate and ICM Outgrowth of Bovine IVM/IVF Embryos," Biol. Reprod. 54(51):172 [No. 463] (1996).		
✓	C65	RASHID, N., et al., "Characterization of a RecA/RAD51 homologue from the hyperthermophilic archaeon Pyrococcus sp. KOD1", Nucleic Acids Research, Vol. 25, No.4:719-726 (1997).		
✓	C66	RAWLS, R., "Hybrid DNA-RNA efficiently repairs gene", C&EN, p.11 (Sep. 1996).		
✓	C67	REISS, B., et al., "RecA protein stimulates homologous recombination in plants", Proc. Natl. Acad. Sci. USA, 93:3094-3098 (Apr. 1996).		
✓	C68	REVET, B.M.J., et al., "Homologous DNA Targeting with RecA Protein-coated Short DNA Probes and Electron Microscope Mapping on Linear Duplex Molecules", rep. fr. J. Mol. Biol., 232:779-791 (1993).		

Examiner Signature		Date Considered	10/6/03
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Substitute for form 1449A/PTO  
(Modified)**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

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Sheet

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of

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Application Number	09/990,433
Filing Date	November 20, 2001
First Named Inventor	ZARLING, David A.
Group Art Unit	1633 / 636
Examiner Name	KAUSHAL
Attorney Docket Number	A-64580-5/RFT/NBC (467135-38)

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SC	C69	ROMAGNOLO, et al., "Regulation of expression of IGF-I-induced IGFBP-3 and IGF-I-receptor by constitutive vs regulated expression of recombinant IGF-I in transfected mammary epithelial cells," Endocrine Journal 2:375-384 (1994).	
SC	C70	SABURI, et al., "Production and Analysis of Germ Line Chimeras Derived from a Single ES Cell Microinjected into 8-Cell Stage Embryo of the Mouse," Biol. Reprod. 54(51):172 [464] (1996).	
SC	C71	SAUER and HENDERSON, "Targeted Insertion of Exogenous DNA into the Eukaryotic Genome by the Cre Recombinase," New Biologist, 2:441-449 (1990).	
SC	C72	SCHNIEKE, et al., "Human Factor IX Transgenic Sheep Produced by Transfer of Nuclei from Transfected Fetal Fibroblasts," Science 278:2130-2133 (1997).	
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SC	C75	SHIBATA, et al., "Homologous pairing in genetic recombination: Complexes of recA protein and DNA," Proc. Natl. Acad. Sci. USA, 76(10):5100-5104 (1979).	
SC	C76	SHINKEL, T.A. et al., "Changes in cell surface glycosylation in alpha1,3-galactosyltransferase knockout and alpha1,2-fucosyltransferase transgenic mice." Transplantation. 1997 Jul 27;64(2):197-204	
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SC	C78	SOLTIS, et al., "RecA protein promoted DNA strand exchange," The Journal of Biological Chemistry, 258(10):6073-6077 (1983).	
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SC	C82	STICE, et al., "Pluripotent Bovine Embryonic Cell Lines Direct Embryonic Development Following nuclear Transfer," Biology of Reproduction, 54:100-110 (1996).	
SC	C83	STICE, et al., "Multiple Generational Bovine Embryo Cloning," Biol. Reprod. 48:715-719 (1993).	
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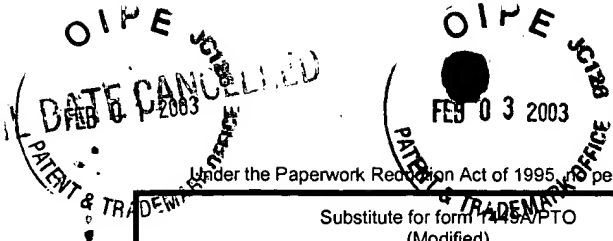
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		Filing Date	November 20, 2001		
		First Named Inventor	ZARLING, David A.		
		Group Art Unit	1633 KAUSHAL 1636		
		Examiner Name	KAUSHAL		
Sheet	8	of	8	Attorney Docket Number	A-64580-5/RFT/NBC (467135-38)

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SE	C85	TAUROG, J.D., et al., "HLA-B27 in inbred and non-inbred transgenic mice. Cell surface expression and recognition as an alloantigen in the absence of human beta 2-microglobulin." J Immunol. 1988 Dec 1;141(11):4020-3
SE	C86	THOMAS et al., "High Frequency Targeting of Genes to Specific Sites in the Mammalian Genome," Cell, 44:419-428 (1986).
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SE	C91	VERMA, I.M. and Somia, N. "Gene therapy — promises, problems and prospects." Nature. 1997 Sep 18;389(6648):239-42.
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SE	C99	"Production of Recombinant Proteins in the Milk of Transgenic Animals," Genzyme Transgenics 3-30.
	C100	
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